

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

patent application of:

Frank S. Saavedra-Lim

) Attorney Docket No.: E-833

Serial No.: 09/475,950

) Group Art Unit: 2876

Filed: December 31, 1999

) Date: June 19, 2001

Title:

A METHOD AND SYSTEM OF UPGRADING THIRD PARTY

FUNCTIONALITY IN AN ELECTRONIC FRAUD MANAGEMENT SYSTEM

REQUEST FOR CORRECTION OF INVENTOR'S NAME AND CORRECTED FILING RECEIPT

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Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Please note that the Filing Receipt dated March 13, 2000 [copy enclosed] lists the inventor's name as Frank Saavedra-Lim. The application documents, including the Declaration and Power of Attorney, list the inventor's name as Frank S. Saavedra-Lim. The Notice of Recordation of Assignment Document mailed May 5, 2000, lists the Assignor as Frank S. Saavedra-Lim.

Please conform your records to reflect the inventor's name as Frank S. Saavedra-Lim and forward to me a "Corrected Filing Receipt".

Thank you.

Respectfully submitted,

Ronald Reichman

Reg. No. 26,796

Attorney for Applicants

Telephone (203) 924-3854

PITNEY BOWES INC. Intellectual Property and Technology Law Department 35 Waterview Drive P.O. Box 3000 Shelton, CT 06484-8000

CERTIFICATION

I hereby certify that a copy of the within correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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on <u>June 20, 2001</u> Date of Deposit

Esther A. Lapin Name of Agent

<u>June 20, 2001</u> Date

TO-103X Rev. 6-99)

FILING RECEIPT



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CHARLES R MALANDRA JR
PITNEY BOWES INC
IS PROP & TECH LAW DEPT
35 WATERVIEW DR PO BOX 3000
SHELTON CT 06484

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt Incorporating the requested corrections (if appropriate).

Applicant(s)

FRANK SAAVEDRA-LIM, STRATFORD, CT.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 02/17/00 TITLE METHOD AND SYSTEM OF UPGRADING THIRD PARTY FUNCTIONALITY IN AN ELECTRONIC FRAUD MANAGEMENT SYSTEM

PRELIMINARY CLASS: 235

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DATA ENTRY BY: ARMSTEAD, LORRAINE TEAM: 06 DATE: 02/17/00

(See reverse for new important information)

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Bib Data Sheet	13 11 113 111 111 111 111	CONFIRMATION NO. 7103									
SERIAL NUMB 09/475,950		CLASS XXX	GROUP ART 2899	UNIT	ATTORNEY DOCKET NO. E-833						
APPLICANTS FRANK S.	SAAVEDRA-LIM, STRAT	TFORD, CT;			RE 9						
** CONTINUING DATA **********************************											
** 02/17/2000	OREIGN FILING LICENS	SE GRANTED	•		001						
Foreign Priority claimed 35 USC 119 (a-d) cond met Verified and Acknowledged	_ yes _ 110 _	syate or country CT	SHEETS DRAWING 16	TOTAL CLAIMS 10	INDEPENDENT CLAIMS 1						
ADDRESS CHARLES R MAI PITNEY BOWES IS PROP & TECH 35 WATERVIEW SHELTON ,CT 0	INC H LAW DEPT DR PO BOX 3000			·							
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